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Augmenting Edge-Connectivity and Vertex-Connectivity Simultaneously

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Abstract Given an undirected multigraph $G = (V, E)$ and requirement functions $\{r_\lambda(x, y) \in Z^+ \mid x, y \in V\}$ and $\{r_\kappa(x, y) \in Z^+ \mid x, y \in V\}$ (where Z^+ is the set of nonnegative integers), the edge and vertex-connectivities augmentation problem asks to augment G by adding the smallest number of new edges to G so that for every $x, y \in V$, the edge-connectivity and vertex-connectivity between x and y are at least $r_\lambda(x, y)$ and $r_\kappa(x, y)$, respectively in the resulting graph G' . In this paper, we show that if $r_\kappa(x, y) = 2$ holds for every $x, y \in V$, then the problem can be solved in polynomial time.

1 Introduction

Let $G = (V, E)$ stand for an undirected multigraph with a set V of vertices and a set E of edges, where an edge with end vertices u and v is denoted by (u, v) . A singleton set $\{x\}$ may be simply denoted by x . For two disjoint subsets of vertices $X, Y \subset V$, we denote by $E_G(X, Y)$ the set of edges, one of whose end vertices is in X and the other is in Y , and also denote $c_G(X, Y) = |E_G(X, Y)|$. In particular, $E_G(u, v)$ implies the set of edges with end vertices u and v . We denote $n = |V|$ and $e = |E|$. For a subset $V' \subseteq V$ in G , $G - V'$ denotes the subgraph induced by $V - V'$. A cut is defined as a subset X of V with $\emptyset \neq X \neq V$, and the size of a cut X is denoted by $c_G(X, V - X)$, which may also be written as $c_G(X)$. A cut with the minimum size is called a (global) minimum cut, and its size, denoted by $\lambda(G)$, is called the edge-connectivity of G . The local edge-connectivity $\lambda_G(x, y)$ for two vertices $x, y \in V$ is defined to be the minimum size of a cut in G that separates x and y (i.e., x and y belong to different sides of X and $V - X$), or equivalently the maximum number of edge-disjoint path between x and y by Menger's theorem [4].

For a subset X of V , $\{v \in V - X \mid (u, v) \in E \text{ for some } u \in X\}$ is called the neighbor set of X , denoted by $\Gamma_G(X)$. Let $p(G)$ denote the number of components in G . A separator of G is defined as a cut S of V such that $p(G - S) > p(G)$ holds and no $S' \subset S$ has this property. A separator always exists, unless G contains the complete graph K_n . If G does not contain K_n , then a separator of the minimum size is called a (global) minimum separator, and its size, denoted by $\kappa(G)$, is called the vertex-connectivity of G . If G contains the complete graph K_n , we define $\kappa(G) = n - 1$. The local vertex-connectivity $\kappa_G(x, y)$ for two vertices $x, y \in V$ is defined to be the number of internally-disjoint paths between x and y in G .

For any separator S , there is the component X of G such that $X \supseteq S$, and we call the components in $G[X] - S$ the S -components. Let

$$\beta(G) = \max\{p(G - S) \mid S \text{ is a minimum separator in } G\}. \quad (1.1)$$

A cut $T \subset V$ is called tight if $\Gamma_G(T)$ is a minimum separator in G and no $T' \subset T$ has this property (hence, $G[T]$ induces a connected graph). Let $t(G)$ denotes the maximum number of pairwise disjoint tight sets in G .

In this paper, for a given function $a: \binom{V}{2} \rightarrow R^+$ (resp., $b: \binom{V}{2} \rightarrow R^+$), where R^+ denotes the set of nonnegative real numbers, we call G a -edge-connected (resp., b -vertex-connected) if $\lambda_G(x, y) \geq a(x, y)$ (resp., $\kappa_G(x, y) \geq b(x, y)$) holds for every $x, y \in V$. Given a multigraph $G = (V, E)$ and a requirement function $r_\lambda: \binom{V}{2} \rightarrow Z^+$, (resp., a requirement function $r_\kappa: \binom{V}{2} \rightarrow Z^+$), where Z^+ denotes the set of nonnegative integers, the edge-connectivity augmentation problem, (resp., the vertex-connectivity augmentation problem) asks to augment G by adding the smallest number of new edges so that the resulting graph G' becomes r_λ -edge-connected (resp., r_κ -vertex-connected). When the requirement function r_λ (resp., r_κ) satisfies $r_\lambda(x, y) = k \in Z^+$ for all $x, y \in V$ (resp., $r_\kappa(x, y) = \ell \in Z^+$ for all $x, y \in V$), this problem is called the global k -edge-connectivity problem (resp., the global ℓ -vertex-connectivity problem).

Watanabe and Nakamura [16] first proved that the global k -edge-connectivity augmentation problem can be solved in polynomial time for any given integer k . Their algorithm increases edge-connectivity one by one, each time augmenting edges on the basis of structural information of the current G . Currently, $O(e + k^2 n \log n)$ time algorithm due to Gabow [6] and $\tilde{O}(n^3)$ time randomized algo-

rithm due to Benczúr [1], whose deterministic running time is $O(n^4)$, are the fastest among existing algorithms. Different from the approach by Watanabe and Nakamura, Cai and Sun [2] first pointed out that the augmentation problem for a given k can be directly solved by applying the Mader's edge-splitting theorem. Based on this, Frank [5] gave an $O(n^5)$ time augmentation algorithm. Afterwards, Gabow [7] and Nagamochi and Ibaraki [14] improved it to $O(mn^2 \log(n^2/m))$ and $O(n^2(m + n \log n))$, respectively. Recently, Nagamochi and Ibaraki [15] gave an $O(n(m + n \log n) \log n)$ time algorithm. For a general requirement function r_λ , Frank [5] showed that the edge-connectivity augmentation problem can be solved in polynomial time by using Mader's edge-splitting theorem, and recently the time complexity was improved by Gabow [7] to $O(n^3 m \log(n^2/m))$.

As to the vertex-connectivity augmentation problem, the problem of adding the minimum number of new edges to a k -vertex-connected graph to make it $(k+1)$ -vertex-connected has been studied by several researchers. It is easy to see that $M(G) = \max\{\beta(G) - 1, \lceil t(G)/2 \rceil\}$ provides a lower bound on the optimal value to this problem. Eswaran and Tarjan [3] proved that the vertex-connectivity augmentation problem can be solved by adding $M(G)$ edges to G for $k = 1$. Watanabe and Nakamura [17] stated the same result for $k = 2$. However, $M(G)$ can be smaller than the optimal value for general $k \geq 3$. Recently Jordán presented an $O(n^5)$ time approximation algorithm for this problem [11, 12]. The difference between the number of new edges added by his algorithm and the optimal value is at most $(k-2)/2$.

It is known that if the requirement function r_κ satisfies $r_\kappa(x, y) = k$ for all $x, y \in V$, where $k \in \{2, 3, 4\}$, then the global k -vertex-connectivity augmentation problem can be solved in polynomial time due to [3, 9], [17, 8], [10], where an input graph G may not be $(k-1)$ -vertex-connected. However, whether there is an polynomial time algorithm for the global vertex-connectivity augmentation problem for an arbitrary k is an open question (even if G is $(k-1)$ -vertex-connected).

In this paper, we consider the problem of augmenting the edge-connectivity and the vertex-connectivity of a given graph G simultaneously by adding the smallest number of new edges. For two given functions $a : \binom{V}{2} \rightarrow R^+$ and $b : \binom{V}{2} \rightarrow R^+$, we say that G is (a, b) -connected if G is a -edge-connected and b -vertex-connected.

Given a multigraph $G = (V, E)$, and two requirement functions $r_\lambda : \binom{V}{2} \rightarrow Z^+$ and $r_\kappa : \binom{V}{2} \rightarrow Z^+$, the *edge-and-vertex-connectivity augmentation problem*, denoted by $\text{EVAP}(r_\lambda, r_\kappa)$, asks to augment G by adding the smallest number of new edges to G so that the resulting graph G' becomes (r_λ, r_κ) -connected. Without loss of generality, $r_\lambda(x, y) \geq r_\kappa(x, y)$ is assumed for all $x, y \in V$, since if a graph is r_κ -vertex-connected then it is r_κ -edge-connected. Clearly,

$\text{EVAP}(r_\lambda, r_\kappa)$ contains the edge-connectivity augmentation problem and the vertex-connectivity augmentation problem as its special cases.

When the requirement function r_κ satisfies $r_\kappa(x, y) = \ell \in Z^+$ for all $x, y \in V$, this problem is denoted by $\text{EVAP}(r_\lambda, \ell)$, if no confusion arises. In this paper, we consider this problem in case $r_\kappa(x, y) = 2$ holds for every $x, y \in V$ (but $r_\lambda(x, y)$ are arbitrary). We first present a lower bound on the number of edges that is necessary to make a given graph G $(r_\lambda, 2)$ -connected. We then show that this problem can be solved in polynomial time, by actually presenting a polynomial time algorithm that adds a new edge set whose size is equal to this lower bound.

In Section 2, after introducing basic definitions and the concept of edge-splitting, we derive a lower bound on the number of edges that are necessary to make a given graph G (r_λ, r_κ) -connected. In Section 3, we outline our algorithm for making a given graph G $(r_\lambda, 2)$ -connected by adding a new edge set whose size is equal to the above lower bound. In Sections 4 – 7, we prove the correctness of each step in our algorithm.

2 Preliminaries

2.1 Definitions

For a multigraph $G = (V, E)$, its vertex set V and edge set E may be denoted by $V[G]$ and $E[G]$, respectively. For a subset $V' \subseteq V$ (resp., $E' \subseteq E$) in G , $G[V']$ (resp., $G[E']$) denotes the subgraph induced by V' (resp., E'). For $V' \subseteq V$ (resp., $E' \subseteq E$) in G , we denote $G[V - V']$ (resp., $G[E - E']$) simply by $G - V'$ (resp., $G - E'$). For an edge set F with $F \cap E = \emptyset$, we denote $G = (V, E \cup F)$ by $G + F$. A *partition* X_1, \dots, X_t of vertex set V means a family of nonempty disjoint subsets of V whose union is V , and a *subpartition* of V means a partition of a subset of V .

We say that a cut X *separates* two disjoint subsets Y and Y' of V if $Y \subseteq X$ and $Y' \subseteq V - X$ (or $Y \subseteq V - X$ and $Y' \subseteq X$) hold. In particular, a cut X separates x and y if $x \in X$ and $y \in V - X$ (or $x \in V - X$ and $y \in X$) hold. A cut X *crosses* another cut Y if none of subsets $X \cap Y$, $X - Y$, $Y - X$ and $V - (X \cup Y)$ is empty. We say that a separator $S \subseteq V$ separates two disjoint subsets Y and Y' of $V - S$ if no two vertices $x \in Y$ and $y \in Y'$ are connected in $G - S$. In particular, a separator S separates vertices x and y in $V - S$ if x and y are contained in different components of $G - S$.

2.2 Edge-Splitting

In this section, we introduce an operation of transforming a graph, called *edge-splitting*, which is helpful to solve the edge-connectivity augmentation problem.

Given a multigraph $G = (V, E)$, a designated vertex $s \in V$, vertices $u, v \in \Gamma_G(s)$ (possibly $u = v$) and a nonnegative integer $\delta \leq \min\{c_G(s, u), c_G(s, v)\}$, we construct graph $G' = (V, E')$ from G by deleting δ edges from $E_G(s, u)$ and $E_G(s, v)$, respectively, and adding new δ edges to $E_G(u, v)$:

$$\begin{aligned} c_{G'}(s, u) &:= c_G(s, u) - \delta, \\ c_{G'}(s, v) &:= c_G(s, v) - \delta, \\ c_{G'}(u, v) &:= c_G(u, v) + \delta, \\ c_{G'}(x, y) &:= c_G(x, y) \text{ for all other pairs } x, y \in V. \end{aligned}$$

In case of $u = v$, we interpret that $c_{G'}(s, u) := c_G(s, u) - 2\delta$, $c_{G'}(u, u) := c_G(u, u) + 2\delta$, and $c_{G'}(x, y) := c_G(x, y)$ for all other pairs $x, y \in V$, where an integer δ is chosen so as to satisfy $0 \leq \delta \leq \frac{1}{2}c_G(s, u)$. We say that G' is obtained from G by *splitting* δ pair of edges (s, u) and (s, v) (or by splitting (s, u) and (s, v) by size δ), and denote the resulting graph G' by $G/(u, v; \delta)$. A sequence of splittings is *complete* if the resulting graph G' does not have any neighbor of s .

The following theorem is proven by Mader [13].

Theorem 2.1 [13] *Let $G = (V, E)$ be a multigraph with a designated vertex $s \in V$ with $c_G(s) \neq 1, 3$ and $\lambda_G(x, y) \geq 2$ for all pairs $x, y \in V$. Then for any edge $(s, u) \in E$ there is an edge $(s, v) \in E$ such that $\lambda_{G/(u, v; 1)}(x, y) = \lambda_G(x, y)$ holds for all pairs $x, y \in V - s$.* \square

This says that if $c_G(s)$ is even, there always exists a complete splitting at s such that the resulting graph G' satisfies $\lambda_{G'-s}(x, y) = \lambda_G(x, y)$ for every pair of $x, y \in V - s$.

2.3 Lower Bound

In this section, we consider problem $\text{EVAP}(r_\lambda, r_\kappa)$, and give a lower bound on the number of edges that is necessary to make a graph G (r_λ, r_κ) -connected, where r_λ and r_κ are given requirement functions. Define

$r_\lambda(X) \equiv \max\{r_\lambda(u, v) \mid u \in X, v \in V - X\}$
for each cut X ,
 $r_\kappa(X) \equiv \max\{r_\kappa(u, v) \mid u \in X, v \in V - X - \Gamma_G(X)\}$
for each cut X with $V - X - \Gamma_G(X) \neq \emptyset$, where see Section 1 for the definition of $\Gamma_G(X)$. To make a graph G r_λ -edge-connected, it is necessary to add

- (1) at least $r_\lambda(X) - c_G(X)$ edges between X and $V - X$ for each cut X .

Also, to make a graph G r_κ -vertex-connected, it is necessary to add

- (2) at least $r_\kappa(X) - |\Gamma_G(X)|$ edges between X and $V - X - \Gamma_G(X)$ for each cut X with $V - X - \Gamma_G(X) \neq \emptyset$.

For a separator S of G , let T_1, \dots, T_q denote all components of $G - S$. Now we consider a graph $H_S = (\{T_1, \dots, T_q\}, \mathcal{E})$ in which we regard each T_i as one vertex of H_S and the edge set \mathcal{E} is defined as

follows:

$$\begin{aligned} &\text{There is a pair of vertices} \\ (T_i, T_j) \in \mathcal{E} &\longleftrightarrow x \in T_i \text{ and } y \in T_j \text{ with} \\ &r_\kappa(x, y) \geq |S| + 1. \end{aligned}$$

In a r_κ -vertex-connected graph, any pair of vertices $x, y \in V$ with $r_\kappa(x, y) \geq |S| + 1$ cannot be separated by such separator S . Hence if there is a pair of vertices $x \in T_i$ and $y \in T_j$ with $r_\kappa(x, y) \geq |S| + 1$, then we must add at least one edge between T_i and T_j (i.e., the number of S -components must become at most $p(H_S)$), in order to make G r_κ -vertex-connected. Therefore in this case, it is necessary to add

- (3) at least $p(G - S) - p(H_S)$ edges to connect components of $G - S$ for a separator S .

(See Section 1 for the definition of $p(G - S)$.) Now define $\delta(G) = \max\{p(G - S) - p(H_S) \mid S \text{ is a separator in } G\}$.

Given a subpartition $\{X_1, \dots, X_p, X_{p+1}, \dots, X_q\}$ of V such that $q \geq p \geq 0$ and $V - X_i - \Gamma_G(X_i) \neq \emptyset$ ($i = p+1, \dots, q$), we need to add $\max\{r_\lambda(X_i) - c_G(X_i), 0\}$ edges for each X_i , $i = 1, \dots, p$, and to add $\max\{r_\kappa(X_i) - |\Gamma_G(X_i)|, 0\}$ edges for each X_i , $i = p+1, \dots, q$, based on observations (1) and (2). Now note that adding one edge to G can contribute to the requirements of at most two X_i . Therefore, we need to add $\lceil \alpha(G)/2 \rceil$ new edges to make G (r_λ, r_κ) -edge-connected, where

$$\alpha(G) = \max \left\{ \sum_{i=1}^p (r_\lambda(X_i) - c_G(X_i)) + \sum_{i=p+1}^q (r_\kappa(X_i) - |\Gamma_G(X_i)|) \right\}, \quad (2.1)$$

and the max is taken over all subpartitions $\{X_1, \dots, X_p, X_{p+1}, \dots, X_q\}$ of V such that $q \geq p \geq 0$ and $V - X_i - \Gamma_G(X_i) \neq \emptyset$, $i = p+1, \dots, q$. On the other hand, from observation (3), to make G r_κ -vertex-connected, at least $\max\{p(G - S) - p(H_S) \mid S \text{ is a separator in } G\}$ new edges are necessarily added to G . Consequently, we have the next lemma.

Lemma 2.1 (Lower Bound) *To make a given graph G (r_λ, r_κ) -connected, at least*

$$\gamma(G) \equiv \max\{\lceil \alpha(G)/2 \rceil, \delta(G)\}$$

new edges must be added. \square

Now we specialize this lower bound to problem $\text{EVAP}(r_\lambda, 2)$ based on which we give a polynomial time algorithm for solving $\text{EVAP}(r_\lambda, 2)$ in the next section.

In problem $\text{EVAP}(r_\lambda, 2)$, we can assume $r_\lambda(x, y) \geq r_\kappa(x, y) = 2$ for all $x, y \in V$. Now the $\alpha(G)$ in (2.1) can be simplified to

$$\alpha(G) = \max \left\{ \sum_{i=1}^p (r_\lambda(X_i) - c_G(X_i)) + \sum_{i=p+1}^q (2 - |\Gamma_G(X_i)|) \right\}, \quad (2.2)$$

where the maximization is taken over all subpartitions $\{X_1, \dots, X_p, X_{p+1}, \dots, X_q\}$ of V such that $q \geq p \geq 0$ and $V - X_i - \Gamma_G(X_i) \neq \emptyset$ for $i = p+1, \dots, q$.

Also we specialize the second lower bound $\delta(G)$. Now, to derive $\delta(G)$, the maximization is taken over all separators S that satisfy $|S| \leq 1$, since each pair of vertices $x, y \in V$ satisfy $r_\kappa(x, y) = 2$. Note that $p(H_S) = 1$ holds for any separator S with $|S| \leq 1$, since any pair of S -components T_i and T_j has a pair of vertices $x \in T_i$ and $y \in T_j$ where $r_\kappa(x, y) = 2 > |S|$. Hence this lower bound can be rewritten by

$$\max\{p(G - S) - 1 \mid S \text{ is a separator with } |S| \leq 1\}. \quad (2.3)$$

A vertex v is called a *cut vertex* in $G = (V, E)$ if $S = \{v\}$ is a minimum separator in G . If G has a cut vertex $v \in V$, then $p(G - v) > p(G)$ holds from the definition of a separator; otherwise $p(G - v) = p(G)$ holds for all $v \in V$. Hence the lower bound in (2.3) can be simplified to

$$\max_{v \in V} \{p(G - v) - 1\}.$$

Also note that if $\kappa(G) \leq 1$ holds, then (1.1) in Section 1 satisfies $\beta(G) = \max_{v \in V} \{p(G - v)\}$ and the lower bound in (2.3) can be simplified to $\beta(G) - 1$. In case of $\kappa(G) \geq 2$, the lower bound in (2.3) is not defined but $\max_{v \in V} \{p(G - v) - 1\} = 0$ holds. Therefore, in Problem EVAP($r_\lambda, 2$), we can define the lower bound in (2.3) by $\max_{v \in V} \{p(G - v) - 1\}$ without confusion. This means that we can define

$$\beta(G) = \max_{v \in V} \{p(G - v)\}. \quad (2.4)$$

and the lower bound in (2.3) becomes

$$\beta(G) - 1.$$

Now define $\gamma(G) = \max\{\lceil \alpha(G)/2 \rceil, \beta(G) - 1\}$. From the above discussion, a set of new edges gives an optimal solution to EVAP($r_\lambda, 2$) if its size is equal to $\gamma(G)$ and the graph obtained by adding $\gamma(G)$ edges to G is $(r_\lambda, 2)$ -connected. We now show that this is always possible, by presenting a polynomial time algorithm in the next section for making G $(r_\lambda, 2)$ -connected by adding $\gamma(G)$ new edges.

Lemma 2.2 *If $\kappa(G) = 1$ (i.e., G is connected and has a cut vertex), then any two tight sets X and Y in G are disjoint.* \square

3 A Polynomial Time Algorithm for EVAP($r_\lambda, 2$)

We now present a polynomial time algorithm, based on the argument in the previous section. Call an edge $e = (u, u')$ *admissible* with respect to a vertex v , if v is a cut vertex such that $v \neq u, u'$ and $p(G - v) = p((G - e) - v)$. For a subset F of edges in a graph G , we say that two edge $e_1 = (u_1, w_1)$ and $e_2 = (u_2, w_2)$ are *switched* in F if we delete e_1 and e_2 from F , and add edges (u_1, u_2) and (w_1, w_2) to F . Our algorithm for solving the EVAP($r_\lambda, 2$), denoted by Algorithm EVA($r_\lambda, 2$), consists of the following four major steps.

Algorithm EVA($r_\lambda, 2$)

Input: An undirected multigraph $G = (V, E)$, and a requirement function $\{r_\lambda(x, y) \in \mathbb{Z}^+ \mid x, y \in V\}$.

Output: An undirected multigraph $G^* = G + F$ with $\lambda_{G^*}(x, y) \geq r_\lambda(x, y)$ for every $x, y \in V$ and $\kappa(G^*) \geq 2$ where the size of new edge set F is the minimum.

Step I. (Addition of vertex s and associated edges):

After adding a new vertex s , add a set F' of a sufficiently large number of edges between s and V so that the resulting graph $G' = (V \cup \{s\}, E \cup F')$ satisfies

$$c_{G'}(X) \geq r_\lambda(X) \quad (3.1)$$

for all X with $\emptyset \neq X \subset V$,

$$|\Gamma_{G'}(X \cup s)| \geq 2 \quad (3.2)$$

for all X with $\emptyset \neq X \subset V$ and $V - X - \Gamma_{G'}(X) \neq \emptyset$. (This can be done for example by adding $\max\{r_\lambda(x, y) \mid x, y \in V\}$ edges between s and each vertex $v \in V$.)

Next, to make F' minimal we discard new edges in F' , one by one, as long as (3.1) and (3.2) remain valid. Denote the resulting set of new edges by F_1 and the resulting graph by $G_1 = (V \cup \{s\}, E \cup F_1)$, where $F_1 = E_{G_1}(s, V)$.

Clearly, these operations can be performed in polynomial time. We claim the next.

Remark: Note that if the original graph G is not connected, then $\kappa_{G_1}(x, y) \geq 2$ cannot be attained for some $x, y \in V$, since a subset $X \subset V$ which induces a component $G[X]$ of G satisfies $\Gamma_{G_1}(X) = \emptyset$ or $\{s\}$, and hence $\kappa_{G_1}(x, y) \leq 1$ for $x \in X$ and $y \in V - X$.

Property 3.1 *In the above step, it is possible to choose a subset F_1 for which $|F_1| = \alpha(G)$ holds.* \square

Step II. (Edge-splitting): If $c_{G_1}(s)$ is odd, then we add one edge (s, w) to G by choosing vertex an arbitrary $w \in V$ which is not a cut vertex in G .

Next we find a complete edge-splitting at s in $G_1 = (V \cup \{s\}, E \cup F_1)$ which preserves condition (3.1) (i.e., the r_λ -edge-connectivity). By Mader's theorem, there always exists such a complete edge-splitting at s , and it can be computed in polynomial time. Let $G_2 = (V, E \cup F_2)$ denote the graph obtained by such a complete edge-splitting, ignoring the isolated vertex s . The next is immediate from Mader's theorem.

Property 3.2 *There is a complete edge-splitting at s of G_1 , so that the resulting graph G_2 is r_λ -edge-connected.* \square

If G_2 is also 2-vertex-connected, then we are done because $|F_2| = |F_1|/2 = \lceil \alpha(G)/2 \rceil$ implies that G_2 is optimally augmented by lower bound $\lceil \alpha(G)/2 \rceil$. Otherwise, go to Step III.

Step III. (Switching edges): Now G_2 has cut vertices. Then, by property (3.2) for G_1 , G_2 satisfies

$$G_2[X \cup \{v\}] \text{ contains at least one edge in } F_2 \text{ for any cut vertex } v \text{ and its } v\text{-component } X. \quad (3.3)$$

Property 3.3 *Assume that G_2 has an admissible edge $e_1 \in F_2$ with respect to a cut vertex v . Let X be a v -component with $e_1 \notin E[G_2[X \cup \{v\}]]$, and e_2 be chosen arbitrarily from $F_2 \cap E[G_2[X \cup \{v\}]]$. Then switching e_1 and e_2 decreases the number of v -components in G_2 at least by one while preserving the r_λ -edge-connectivity. Moreover, the resulting graph G'_2 from switching e_1 and e_2 still satisfies (3.3), and $\kappa_{G'_2}(x, y) \geq 2$ holds for any pair of vertices x and y with $\kappa_{G_2}(x, y) \geq 2$.* \square

Property 3.4 *If G_2 has two cut vertices v_1 and v_2 , then there are v_1 -component X_1 and v_2 -component X_2 such that $X_1 \cap X_2 = \emptyset$. Let edge e_1 be arbitrarily chosen from $F_2 \cap E[G_2[X_1 \cup \{v_1\}]]$. Then e_1 is admissible with respect to v_2 .* \square

Based on Property 3.3, Step III repeats switching pairs of edges in F_2 until the resulting graph has no admissible edge in F_2 .

Let $G_3 = (V, E \cup F_3)$ be the resulting graph obtained by such a sequence of switching edges in F_2 , where F_3 denotes the final F_2 . Then Property 3.4 implies that, if there are at least two cut vertices, then G_3 has an admissible edge in F_3 , which is a contradiction. Hence G_3 has the following property.

Property 3.5 G_3 has at most one cut vertex. \square

If G_3 has no cut vertex, then we are done, since $|F_3| = \lceil \alpha(G)/2 \rceil$ implies that G_3 is optimally augmented. Otherwise, go to Step IV.

Step IV. (Edge augmentation): Now G_3 has exactly one cut vertex v . Then G_3 and v satisfy the following property.

Property 3.6 *For the graph G_3 and its cut vertex v , it holds $p(G_3 - v) = p(G - v) - \lceil \alpha(G)/2 \rceil$.* \square

Now let T_1, \dots, T_q be all v -components in G_3 , where $q = p(G_3 - v)$. We can make G_3 2-vertex-connected by adding one edge between T_i and T_{i+1} for each $i = 1, \dots, q-1$ (i.e., $p(G_3 - v) - 1$ edges in total). Let F_4 denote a set of these $p(G_3 - v) - 1$ edges added. Note that $p(G_3 - v) = p(G - v) - \lceil \alpha(G)/2 \rceil \leq \beta(G) - \lceil \alpha(G)/2 \rceil$ holds from Property 3.6 and $\beta(G) \geq p(G - v)$ (see (2.4)). Also note that $|F_4| + |F_3| = p(G_3 - v) - 1 + \lceil \alpha(G)/2 \rceil \geq \beta(G) - 1$ holds since $\beta(G) - 1$ is a lower bound on the number of edges that must be added to make G $(r_\lambda, 2)$ -connected. These imply $|F_4| = \beta(G) - 1 - \lceil \alpha(G)/2 \rceil$. Therefore we have the following property.

Property 3.7 *There is a set of $\beta(G) - 1 - \lceil \alpha(G)/2 \rceil$ new edges F_4 obtained for G_3 such that the resulting graph $G_4 = (V, E \cup F_3 \cup F_4)$ is 2-vertex-connected.*

Finally, we are done since $|F_3| + |F_4| = \beta(G) - 1$ implies that G_4 is optimally augmented by lower bound $\beta(G) - 1$.

We shall explain in the subsequent sections that the required properties (summarized as Properties 3.1 – 3.7) always hold. Together with these proofs, this algorithm establishes the next theorem, which is the main goal of this thesis.

Theorem 3.1 *Given a requirement function $\{r_\lambda(x, y) \in \mathbb{Z}^+ \mid x, y \in V\}$, a multigraph G can be made $(r_\lambda, 2)$ -connected by adding $\gamma(G) = \max \{\lceil \alpha(G)/2 \rceil, \beta(G) - 1\}$ new edges in $O(n^3 m \log \frac{n^2}{m})$ time.* \square

4 Correctness of Step I

We give a proof of Property 3.1 in order to prove the correctness of Step I.

Proof of Property 3.1: It is clear that $\lambda_{G_1}(x, y) \geq r_\lambda(x, y) \geq 2$ holds for all $x, y \in V$ by (3.1).

First, we show $|F_1| \geq \alpha(G)$. Let $\mathcal{F}^* = \{X_1^*, \dots, X_p^*, X_{p+1}^*, \dots, X_q^*\}$ be a subpartition of V

with $V - X_i^* - \Gamma_{G_1}(X_i^*) \neq \emptyset$ for $i = p+1, \dots, q$ that attains the maximum of (2.2); i.e., $\alpha(G) = \sum_{i=1}^p (r_\lambda(X_i^*) - c_G(X_i^*)) + \sum_{i=p+1}^q (2 - |\Gamma_G(X_i^*)|)$. If $|F_1| < \alpha(G)$ holds, then there must be at least one cut $X_i^* \in \mathcal{F}^*$ that violates (3.1) or (3.2), contradicting construction of G_1 .

Now we prove the converse, $|F_1| \leq \alpha(G)$, through five claims.

A cut $X \subset V$ is called *critical* in G_1 if $s \in \Gamma_{G_1}(X)$ holds and the removal of any edge $e \in E_{G_1}(s, X)$ violates (3.1) or (3.2). Clearly, a subset $X \subset V$ with $s \in \Gamma_{G_1}(X)$ is critical if and only if X satisfies at least one of the following conditions:

- (1) $c_{G_1}(X) = r_\lambda(X)$.
- (2) $c_{G_1}(s, X) = 1$, $|\Gamma_{G_1}(X) - s| = 1$, and $V - X - \Gamma_{G_1}(X) \neq \emptyset$.
- (3) $\Gamma_{G_1}(X) = \{s\}$, $|\Gamma_{G_1}(s) \cap X| = 2$, and there is a vertex $v \in \Gamma_{G_1}(s) \cap X$ with $c_{G_1}(s, v) = 1$.

We call a critical cut X *v-minimal* if $v \in \Gamma_{G_1}(s) \cap X$ and there is no critical cut X' with $\{v\} \subseteq X' \subset X$. A subset X is called *critical of type (1)* (resp., (2), (3)) if it satisfies (1) (resp., (2), (3)).

We will prove that G_1 has a set of critical cuts X_1, \dots, X_q only of type (1) and (2) such that

$$\begin{aligned} X_i \cap X_j &= \emptyset, \quad 1 \leq i < j \leq q \text{ and} \\ \Gamma_{G_1}(s) &\subseteq X_1 \cup \dots \cup X_q, \end{aligned} \quad (4.1)$$

This implies that

$$|F_1| = \left\{ \sum_{i=1}^p (r_\lambda(X_i) - c_G(X_i)) + \sum_{i=p+1}^q (2 - |\Gamma_G(X_i)|) \right\}$$

where $X_i, i = 1, \dots, p$ is of type (1) and $X_i, i = p+1, \dots, q$ is of type (2), from which $|F_1| \leq \alpha(G)$ by definition of $\alpha(G)$.

Claim 4.1 Any critical cut X of type (3) is also critical of type (1). \square

By this claim, we can regard critical cuts of type (3) as those of type (1). The next property is known in [5].

Claim 4.2 Let X and Y be critical cuts of type (1) in G_1 . Then at least one of the following statements holds.

- (i) Both $X \cap Y$ and $X \cup Y$ are critical.
- (ii) Both $X - Y$ and $Y - X$ are critical, and $c_{G_1}(X \cap Y, (V \cup \{s\}) - (X \cup Y)) = 0$. \square

An analogous property holds for type (2) critical cuts.

Claim 4.3 Let X and Y be critical cuts of type (2). If Y is *v-minimal* for some $v \in V - X$, then they do not cross each other. \square

Claim 4.4 Let X be a critical cut of type (1), and Y be a critical cut of type (2) such that $\Gamma_{G_1}(s) \cap (Y - X) \neq \emptyset$. If X and Y cross each other, then $c_{G_1}(X \cap Y, s) = 0$ holds and cut $Y - X$ is critical of type (1). \square

Now we are ready to prove that G_1 has a set of critical cuts X_1, \dots, X_q that satisfies (4.1). Let $N_1 \subseteq \Gamma_{G_1}(s)$ be the set of neighbors u of s such that there is a critical cut X of type (1) with $u \in X$. Let us choose a critical cut X_u of type (1) with $u \in X_u$ for each $u \in N_1$ so that $\sum_{X \in \{X_u | u \in N_1\}} |X|$ is minimized. Denote such a set $\{X_u | u \in N_1\}$ by \mathcal{F}_1 . For $N_2 = \Gamma_{G_1}(s) - N_1$, we choose a u -minimal critical cut X_u for each $u \in N_2$, and let $\mathcal{F}_2 = \{X_u | u \in N_2\}$. Then we claim the next.

Claim 4.5 $\mathcal{F} = \mathcal{F}_1 \cup \mathcal{F}_2$ consists of disjoint critical cuts whose union contains $\Gamma_{G_1}(s)$.

Proof. Let $\mathcal{F}_1 = \{X_1, \dots, X_p\}$ and $\mathcal{F}_2 = \{X_{p+1}, \dots, X_q\}$ with each $\emptyset \neq X_i \subset V$. Clearly, $\Gamma_{G_1}(s) \subseteq \bigcup_{X_i \in \mathcal{F}} X_i$ holds from construction of \mathcal{F} .

We show that X_i and X_j are pairwise disjoint for each $X_i, X_j \in \mathcal{F}_1$. Assume that \mathcal{F}_1 contains X_i and X_j which are not pairwise disjoint. Note that $X_i \subset X_j$ does not hold from construction of \mathcal{F}_1 . If X_i and X_j cross each other, then Claim 4.2 implies that at least one of the following statements holds:

- (i) Both $X_i \cap X_j$ and $X_i \cup X_j$ are critical.
- (ii) Both $X_i - X_j$ and $X_j - X_i$ are critical, and $c_{G_1}(X \cap Y, (V \cup \{s\}) - (X \cup Y)) = 0$.

If the statement (i) holds, then $\mathcal{F}'_1 = (\mathcal{F}_1 - X_i - X_j) \cup \{X_i \cup X_j\}$ would satisfy $N_1 \subseteq \mathcal{F}'_1$ and $\sum_{X \in \mathcal{F}'_1} |X| < \sum_{X \in \mathcal{F}_1} |X|$, contradicting the minimality of $\sum_{X \in \mathcal{F}_1} |X|$. If the statement (ii) holds, then $\mathcal{F}'_1 = (\mathcal{F}_1 - X_i - X_j) \cup \{X_i - X_j, X_j - X_i\}$ satisfies $\sum_{X \in \mathcal{F}'_1} |X| < \sum_{X \in \mathcal{F}_1} |X|$ and $N_1 \subseteq \mathcal{F}'_1$ (by $c_{G_1}(X \cap Y, (V \cup \{s\}) - (X \cup Y)) = 0$). This again contradicts the minimality of $\sum_{X \in \mathcal{F}_1} |X|$. Therefore X_i and X_j are pairwise disjoint for each $X_i, X_j \in \mathcal{F}_1$.

Claim 4.3 implies that X_i and X_j are pairwise disjoint for each $X_i, X_j \in \mathcal{F}_2$.

Finally, we show that X_i and X_j are pairwise disjoint for each $X_i \in \mathcal{F}_1$ and $X_j \in \mathcal{F}_2$. Note that $\Gamma_{G_1}(s) \cap (X_j - X_i) \neq \emptyset$ holds from definition of N_1 . Then $X_j \subset X_i$ does not hold. Also note that $X_i \subset X_j$ does not hold, otherwise $\Gamma_{G_1}(s) \cap X_i \neq \emptyset$ and $\Gamma_{G_1}(s) \cap (X_j - X_i) \neq \emptyset$ imply $c_{G_1}(X_j, s) \geq c_{G_1}(X_i, s) + 1 \geq 2$, contradicting that X_j is of type (2). Assume that X_i and X_j cross each other. Now $\Gamma_{G_1}(s) \cap (X_j - X_i) \neq \emptyset$ holds. Therefore Claim 4.4 implies that $c_{G_1}(s, X_i \cap X_j) = 0$ holds and $X_j - X_i$ is a critical cut of type (1). This implies that any vertex in X_j cannot belong to N_2 , contradicting $X_j \in \mathcal{F}_2$. \square

Clearly \mathcal{F} is a subpartition of V by Claim 4.5. Since $\Gamma_{G_1}(s) \subseteq X_1 \cup \dots \cup X_q$ with $X_i \in \mathcal{F}$ holds, it

holds

$$|F_1| = \sum_{i=1}^p (r_\lambda(X_i) - c_G(X_i)) + \sum_{i=p+1}^q (2 - |\Gamma_G(X_i)|),$$

for $\mathcal{F}_1 = \{X_1, \dots, X_p\}$ and $\mathcal{F}_2 = \{X_{p+1}, \dots, X_q\}$. From definition of $\alpha(G)$, we have $|F_1| \leq \alpha(G)$. \square

5 Correctness of Step II

Let $G_1 = (V \cup \{s\}, E \cup F_1)$ be the graph obtained from a given graph $G = (V, E)$ after Step I. In this section, we describe about the correctness of Property 3.2 and the purpose of operations in case where $c_{G_1}(s)$ is odd.

In Step II, a graph $G_2 = (V, E \cup F_2)$ is constructed from G_1 by a complete edge-splitting at s . Then the correctness of Property 3.2 is immediate from Mader's theorem (see Theorem 2.1).

In this step, a non cut vertex w is chosen when we add an extra edge (s, w) to G_1 if $c_{G_1}(s)$ is odd. Such choice of w will be used for the correctness of Step IV in Section 7 (i.e., by this choice of w , we will be able to make G $(r_\lambda, 2)$ -connected by adding $\beta(G) - 1$ new edges in case of $\beta(G) - 1 > \lceil \alpha(G)/2 \rceil$).

6 Correctness of Step III

Let $G_2 = (V, E \cup F_2)$ be the graph obtained in Step II. Now G_2 is 2-edge-connected but has cut vertices.

In order to justify Step III, we now prove Property 3.3 in Step III.

Proof of Property 3.3: We prove Property 3.3 via two claims.

Claim 6.1 *Let $v \in V$ denote a cut vertex in G_2 . Assume that a v -component T contains an admissible edge $e = (u, u')$ with respect to v . Then $G_2[T] - e$ contains a path P between u and u' .* \square

Claim 6.2 *Let $e_1 = (u_1, w_1)$ and $e_2 = (u_2, w_2)$ be the edges in the statement of Property 3.3. Then the graph $G'_2 = (V, E \cup F'_2)$ obtained by switching e_1 and e_2 , where $F'_2 = F_2 \cup \{(u_1, u_2), (w_1, w_2)\} - \{e_1, e_2\}$, satisfies followings:*

- (i) $\lambda_{G'_2}(x, y) \geq r_\lambda(x, y)$ for every $x, y \in V$.
 - (ii) $p(G'_2 - v) < p(G_2 - v)$.
 - (iii) $\kappa_{G'_2}(x, y) \geq 2$ holds for every pair of vertices x and y that satisfies $\kappa_{G_2}(x, y) \geq 2$.
- (The statements (ii) and (iii) and Lemma 2.2 imply that switching e_1 and e_2 decreases the number $t(G_2)$ of tight sets in G_2 by at least one if e_1 or e_2 is contained in a tight set in G_2 .)

Proof. (i) We assume that there is a cut X such that $c_{G'_2}(X) \leq r_\lambda(X) - 1$ holds. Note that $c_{G_2}(X) \leq c_{G'_2}(X)$ holds if cut X does not separate $\{u_1, u_2\}$

and $\{w_1, w_2\}$ in G'_2 . Since $c_{G_2}(X) \geq r_\lambda(X)$ originally holds, cut X separates $\{u_1, u_2\}$ and $\{w_1, w_2\}$ and hence $c_{G'_2}(X) = c_{G_2}(X) - 2$ holds. Since the cut X crosses both v -components T_1 and T_2 in G_2 , either $G_2[X]$ or $G_2[V - X]$ consists of at least two components. Without loss of generality, assume that $G_2[X]$ consists of at least two components. There are vertices $x^* \in X$ and $y^* \in V - X$ such that $r_\lambda(x^*, y^*) = r_\lambda(X) \geq c_{G'_2}(X) + 1$. Without loss of generality, assume that $x^* \in X \cap T_1$. Note that $c_{G_2}(X \cap T_2) \geq r_\lambda(X \cap T_2) \geq 2$ and $c_{G_2}(X \cap T_1) \geq r_\lambda(X \cap T_1) \geq r_\lambda(x^*, y^*) \geq c_{G'_2}(X) + 1$ hold. This implies $c_{G_2}(X) = c_{G_2}(X \cap T_1) + c_{G_2}(X \cap T_2) \geq (c_{G'_2}(X) + 1) + 2$, contradicting $c_{G'_2}(X) = c_{G_2}(X) - 2$.

(ii) It is sufficient to show that $G'_2[T_1 \cup T_2]$ is connected. Since the removal of the admissible edge e_1 does not increase the number of v -components, T_1 remains a v -component in $G_2 - e_1$. If T_2 remains a v -component in $G_2 - e_2$, then $G[T_1]$ and $G[T_2]$ are joined by the edges (u_1, u_2) and (w_1, w_2) obtained by switching e_1 and e_2 in G'_2 . If T_2 consists of two components T_2^1 and T_2^2 in $G_2 - e_2$, then $u_2 \neq v \neq w_2$ holds and u_2 and w_2 are separated by T_2^1 . Assume $u_2 \in T_2^1$ and $w_2 \in T_2^1$ without loss of generality. Now T_2^1 (resp., T_2^2) and T_1 are joined by the edges (u_1, u_2) (resp., (w_1, w_2)). This implies that $G'_2[T_1 \cup T_2]$ is a component since T_1 remains a v -component in $G_2 - e_1$. Therefore if v remains a cut vertex in G'_2 , then $T_1 \cup T_2$ is a v -component (otherwise, clearly, $p(G_2 - v) = 1$).

(iii) Assume that there are vertices $x, y \in V$ such that $\kappa_{G_2}(x, y) = 2$ but $\kappa_{G'_2}(x, y) = 1$. Let $v' \in V$ denote a cut vertex in G'_2 that separates x and y . Clearly, $v' \neq v$ (because $v = v'$ would imply $\kappa_{G_2}(x, y) = 1$). Let W_1, W_2, \dots, W_q ($q \geq 2$) be the v' -components of G'_2 , where $x \in W_1$ and $y \in W_2$. Since a cut vertex v' does not separate x and y in G_2 , $e_1 \in E_{G_2}(W_1, W_2)$ or $e_2 \in E_{G_2}(W_1, W_2)$ holds. Also note that no edge other than e_1 and e_2 can not belong to $E_{G_2}(W_1, W_2)$. We can easily see that $G_2[W_1 \cup W_2 \cup \{v'\}]$ contains u_1, w_1, u_2 , and w_2 . Then note that $u_i, w_i \in W_j$ cannot hold for any i, j with $1 \leq i \leq j \leq 2$. Otherwise (assume $u_1, w_1 \in W_1$ without loss of generality) then $e_2 \in E_{G_2}(W_1, W_2)$ holds (assume $u_2 \in W_1$ and $w_2 \in W_2$ without loss of generality). Now $(w_1, w_2) \in E_{G'_2}(W_1, W_2)$ holds and $G'_2[W_1]$ and $G'_2[W_2]$ are both connected from definition of W_1 and W_2 , contradicting that cut vertex v' separates x and y in G'_2 . Therefore, for each $i = 1, 2$, we have now $e_i = (u_i, w_i) \in E_{G_2}(W_1, W_2)$ or $u_i = v'$ or $w_i = v'$.

We first consider the case of $e_1 \in E_{G_2}(W_1, W_2)$. Then $v' \in T_1$ holds since $G_2[T_1] - e_1$ is connected by Claim 6.1. Hence $e_2 \in E_{G_2}(W_1, W_2)$ holds since $v' \in T_1$ implies $u_2 \neq v' \neq w_2$. Let $v \notin W_2$ and $u_1, u_2 \in W_1$ without loss of generality. Now $\Gamma_{G'_2}(T_2 \cap W_2) \cap (T_2 - W_2) = \emptyset$ holds since v' is a cut vertex of G'_2 and $v' \notin T_2$ hold. Note that $E_{G'_2}(T_2 \cap W_2, V -$

$(T_2 \cap W_2) = \{(w_1, w_2)\}$ since T_2 is a v -component of G_2 and $u_2 \in W_1$ holds. This implies $\Gamma_{G_2}(T_2 \cap W_2) = \{u_2\}$ holds and hence e_2 is a bridge of G_2 from $E_{G_2}(W_1, W_2) = \{e_1, e_2\}$, which contradicts $\lambda(G_2) \geq 2$.

We then consider the case of $e_1 \notin E_{G_2}(W_1, W_2)$ holds, i.e., $v' = u_1 \in T_1$ or $v' = w_1 \in T_1$ holds. This implies that $e_2 \in E_{G_2}(W_1, W_2)$ holds and $v' \notin T_2$. Therefore, this clearly leads to a contradiction, in a similar way to above case of $e_1 \in E_{G_2}(W_1, W_2)$. \square

From the above claim, Property 3.3 is proved.

7 Correctness of Step IV

Let $G_3 = (V, E \cup F_3)$ be obtained from G_2 after Step III. Now clearly $|F_3| = \lceil \alpha(G)/2 \rceil$. This G_3 has exactly one cut vertex v .

The correctness of Step IV clearly follows if we prove Property 3.6. The proof is now given below via two claims.

Claim 7.1 G_3 has no edge in F_3 incident to the cut vertex v . \square

Claim 7.2 $p(G-v) = p(G_3-v) + |F_3|$ holds. That is, deleting any edge $e \in F_3$ increases the number of v -components in G_3 .

Proof. If $p(G-v) < p(G_3-v) + |F_3|$ holds, then there is at least one edge $e \in F_3$ with $p((G_3 - e) - v) = p(G_3 - v)$. Then e is admissible with respect to v since Claim 7.1 implies that any edge in F_3 is not incident to v , contradicting construction of G_3 . \square

This claim implies that since G_3 has no edge in F_3 incident to the cut vertex v , a graph $H = (W, F_3)$ is a forest, where a vertex set W of H is obtained by removing the cut vertex v and contracting each component of $G-v$ to one vertex. Now Claim 7.2 implies Property 3.6 since $|F_3| = \lceil \alpha(G)/2 \rceil$ holds from construction.

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